Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRADEN TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

	· · · · · · · · · · · · · · · · · · ·
Application Number	10/778,009
Filing Date	February 11, 2004
First Named Inventor	Erik C. Scher
Group Art Unit	1753
Examiner Name	Unassigned
Attorney Docket Number	40-001330US

Total Number of P	rages in This Submit	SSION	Attorney Doc	ket Numbe				
ENCLOSURES (check all that apply)								
Fee Transmitta	al Form		Assignment Papers (for an Application)			After Allowance Communication to Group		
Fee Atta	ached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences		
Amendment /	Response		Licensing-related Paper	s		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After F	Final		Petition Routing Slip (PT and Accompanying Petit	ro/SB/69) tion		Proprietary Information		
Affidav	vits/declaration(s)		Petition to Convert to a Provisional Application			Status Letter		
Extension of T	Time Request		Power of Attorney, Revo Change of Corresponde Address		X	Additional Enclosure(s) (please identify below):		
Express Aban	idonment Request		Terminal Disclaimer Small Entity Statement	•		PTO form 1449, cited references and receipt acknowledgment postcard		
Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application			Request for Refund					
		Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.						
							Response to Missing	
	under 37 CFR					·		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Jonathan Alan Quine, Reg. No. 41,261 for Monicia Elrod-Erickson, Reg. No. 51,651 Individual name								
Signature Al Prine								
Date	July 23, 2004	,						
		CE	RTIFICATE OF MAI	LING				
I hereby certify that this c	correspondence is being f	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient						

postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Juliana Hermes

Signature

Date

July 23, 2004



QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Juliana Hermes

Attorney Docket No. 40-001330US Client Ref. No. 01-001330US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Erik C. Scher, et al.

Application No.: 10/778,009

Filed: February 11, 2004

For: NANOSTRUCTURE AND

NANOCOMPOSITE BASED

COMPOSITIONS AND

PHOTOVOLTAIC DEVICES

Examiner: Unassigned

Art Unit: 1753

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37

CFR § 1.97 and

§ 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Erik C. Scher, et al.

Application No.: 10/778,009

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan Alan Quine, Reg. No. 41,261 for

Monicia Elrod-Erickson

Reg. No. 51,651

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

P.O. BOX 458 Alameda, CA 94501 (510) 337-7871 Fax (510) 337-7877

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwork Red

Substitute for form 1449A INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Ce	omplete if Known
Application Number	10/778,009
Filing Date	February 11, 2004
First Named Inventor	Erik C. Scher
Group Art Unit	1753
Examiner Name	Unassigned
Attorney Docket Number	40-001330US
Date Submitted	July 23, 2004

		U.S. Patent Doc	ument	Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,
Examiner Initials	Cite No.	Number	Kind Code (if known)		Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
	1	2003/0145779	A1	Alivisatos et al.	08-07-2003	
	2	2004/0003838	A1	Curtain	01-08-2004	
	3	2004/0095658	A1	Buretea et al.	05-20-2004	

		Fo	reign Patent Docu		N PATENT DOCUMEN'	Date of Publication	Pages, Columns, Lines,	П
Examiner Initials	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	1
				-			***************************************	Т
				_				₩

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т
	4	Coe (2002) "Electroluminescence from single monolayers of nanocrystals in molecular organic device" Nature 420:800-803	
	5	Yakimov et al. (2002) "High Photovoltage multiple-heterojunction organic solar cells incorporating interfacial metallic nanoclusters" Applied Physics Letters 80:1667-1669	
	6	Yang et al (2003) "Improvement of the performance of polymer/C60 photovoltaic cells by small-molecule doping" Synthetic Metals 137:1521-1522.	
			-

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.